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7-18-01



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Dutta et al.**

Serial No.: **09/833,344**

Filed: **April 12, 2001**

For: **Method and Apparatus for  
Processing Checks at an Automatic  
Teller Machine for Electronic  
Transfer**

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Group Art Unit: **2161**

Examiner: **Unknown**

Attorney Docket No.: **AUS920010211US1**

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Hon. Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 50-0392.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee is required.

Respectfully submitted,

Date: \_\_\_\_\_

7/11/19

Duke W. Yee

Duke W. Yee

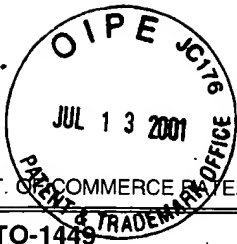
Registration No. 34,285

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<b>Form PTO-1449</b>			<b>ATTORNEY DOCKET NO.</b> <b>AUS920010211US1</b>		<b>SERIAL NO.</b> <b>09/833,344</b>	
<b>LIST OF PRIOR ART CITED BY APPLICANT</b> (Use several sheets if necessary)			<b>APPLICANT</b> <b>Dutta et al.</b>			
			<b>FILING DATE</b> <b>April 12, 2001</b>		<b>GROUP ART UNIT</b> <b>2161</b>	
<b>U.S. PATENT DOCUMENTS</b>						
<b>EXAMINER INITIAL</b>	<b>DOCUMENT NO.</b>		<b>PUBLICATION DATE</b>	<b>INVENTOR NAME</b>	<b>CLASS/SUBCLASS</b>	<b>FILING DATE</b>
	AA	6,167,381	Dec. 26, 2000	Swaine et al.	705/17	Feb. 6, 1998
	AB	5,918,748	Jul. 6, 1999	Clark et al.	209/534	Jul. 1, 1997
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	AD	6,032,137	Feb. 29, 2000	Ballard	705/75	May 19, 1998
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	AJ	5,345,549	Sep. 6, 1994	Appel et al.	395/154	Oct. 30, 1992
	AK	5,422,467	Jun. 6, 1995	Graef et al.	235/379	Jan. 15, 1993
	AL	5,534,682	Jul. 9, 1996	Graef et al.	235/379	Mar. 21, 1995
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	AO	5,987,439	Nov. 16, 1999	Gustin et al.	705/43	May 30, 1997
<b>FOREIGN PATENT DOCUMENTS</b>						
<b>EXAMINER INITIAL</b>	<b>DOCUMENT NO.</b>		<b>PUBLICATION DATE</b>	<b>COUNTRY</b>	<b>CLASS/SUBCLASS</b>	<b>TRANSLATION YES NO</b>
<b>OTHER PRIOR ART</b> (including author, title, date, pertinent page, etc.)						
<b>DATE CONSIDERED</b>				<b>EXAMINER</b>		
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						